

## Appendix № 3 to the General Terms of Business and Regulations

### Fees

The Company charges the Client fees for transactions executed on his/her trading account. The schedule of chargeable fees are indicated in paragraph 1 of the present Appendix. Fees are chargeable in the way of direct withdrawal from the Client's account.

The Company has the right to specify the fees schedule for each Client individually.

#### 1. TARIFF PLANS

- Individual tariff plan (upon the Company's approval);
- Consulting tariff plan: 0.5% + \$0.012 per share, but not less than \$1.2 per trade. \$3 per for one options contract + \$10 per trade;
- Standard tariff plan: \$0.012 per share, but not less than \$1.2 per trade. \$0.65 for one options contract;
- International: 0.38% of the transaction value + \$0.012 per share, but not less than \$1.2 per transaction + 0.12%\*\* of the transaction value.
- Participation in voting on corporate events of the issuer: \$25.

No commission is charged for NANOS options.

In case of execution of an Order for a transaction on different trading days, the Company's fees shall be charged as a fee on a separate transaction for each trading day.

#### 2. MARGIN RATE

**Margin Lending Rate:** 15% per annum

If the Client has used the Margin funds intraday and closed positions with Margin, fees for Margin lending will not be charged for that day.

#### 3. USE OF ECNs

##### Definition of ECN

ECN – an Electronic Communications Network, is an electronic system for electronic execution of orders on purchase or sale.

##### Additional charges for use of ECN

Fees are withdrawn by ECN itself for the use of their services, but not by the Company according to its platform fees. Those fees can be provided to the Client upon request.

Note: the U.S. Securities and Exchange Commission (SEC) charges fees in the amount of 0,00093% of the total monetary amount of assets sold. These fees are charged only from executed sell orders and shall

be added to the total fees of the Company. The rate of SEC fees is floating and is published on the official web-site of the SEC ([www.sec.gov](http://www.sec.gov)). The SEC set the fee rates applicable to most securities transactions at \$8 per million dollars on February 27, 2023.

All other charges (charges of third parties and so on for using ECN) are charged to the Client upon the Company receipt of invoices from third parties. The Company shall be entitled to withdraw the fees relating to the Services from the Client's account without informing the Client.

Fee for transactions made in currency other than US dollars shall be 0,5 % of the transaction amount.

#### **4. PROVISION OF ACCESS TO INTERNET TRADING PLATFORMS**

F-Trader	\$200
----------	-------

##### **Exchange data type**

Options Level 1	\$15
-----------------	------

Options Level 2	\$50
-----------------	------

OTC Level 1	\$15
-------------	------

OTC Level 2	\$50
-------------	------

Fee for providing the Internet Trading platforms is deducted from the Client's account in the first 10 calendar days of the month.

#### **5. OTHER FEES**

Fee for transfer of securities between brokers:

- transfer of assets to the Company – USD 100 per issuer;
- transfer of assets from the Company - USD 150 per issuer.

Fee for OTC transactions shall be 2 (two) % of the transaction amount, but not less than 25 (twenty-five) US dollars.

Fee for OTC SWAP transactions shall be 0.12 % of the transaction amount.

Fee for bonds transactions shall be 0.1% of the transaction amount, NKD not included.

Fee for withdrawing funds to third-party banks except banks specified below is 0.5% of the withdrawn amount, but not less than 30 and not more than 300 US dollars/euro.

Fee for withdrawing funds to banks located in Ukraine is 1% of the withdrawn amount, but not less than 30 and not more than 500 US dollars/euro.

Fee for withdrawing funds to banks located in Kazakhstan is 0,3% of the withdrawn amount, but not less than 30 and not more than 250 US dollars/euro.

Fee for withdrawing funds to banks “Bank Freedom Finance Kazakhstan”, JSC and “Cifra bank”, LLC shall be free of charge.

Fee for preparation of certificates, responses to requests from third parties regarding the Client and other similar documents is 30 US dollars.

Fee for preparation of Broker report original is 30 US dollars.

Fee for the early expiration of the option contract is 20 US dollars per unique ticker (instrument).

Fee for transactions with a security with the ticker GRBM\_SWAP:

- 5% of the amount of the submitted purchase order - for the acquisition transaction. The fee shall be deducted from the amount of the specified application.
- 2% of the amount received from the sale.